

ATTORNEY DOCKET NO.

CCOPY OF PAPERS  
ORIGINALLY FILED

SERIAL NO.  
09/558,749

09/558,749

FEB 11 2002

RECEIVED

FEB 14 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Technology Center 2800

In re Application of: Rian R. Maloney  
Serial No. 09/558,749  
Filing Date: April 20, 2000  
Group Art Unit: 2621  
Title: METHOD AND SYSTEM FOR EMULATING A CHECK  
SORTER

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

D. Landreth  
Name

1/16/02  
Date of Signature

#### INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Enclosed are copies of two PCT International Search Reports identifying the references contained in this Information Disclosure Statement. The PCT International Search Reports have mailing dates of October 26, 2001 and November 29, 2001, respectfully. Therefore, I hereby certify that the references contained in this Information Disclosure Statement were cited for the

ATTORNEY DOCKET NO.  
021768.1087

SERIAL NO.  
09/558,749

2

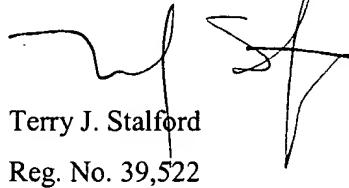
first time in a communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(c), Applicant believes that this Information Disclosure Statement has been filed before the mailing date of a final action or notice of allowance. Therefore, Applicant believes that no fee is due. However, if any additional fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker & Botts, L.L.P.

Respectfully submitted,

BAKER & BOTTTS, L.L.P.

Attorneys for Applicant

  
Terry J. Stalford  
Reg. No. 39,522

2001 Ross Avenue, Suite 600

Dallas, Texas 75201-2980

(214) 953-6477

(dl)

Date: 1/15/02

<b>PTO-1449</b> <b>Information Disclosure Statement</b> <b>In an Application</b>	Application No. 09/558,749		Applicant(s) Rian R. Maloney	
	Docket Number 021768.1087	Group Art Unit 2621	Filing Date April 20, 2000	

## U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	5,159,548	10/27/92	Caslavka	364	408	07/27/90
B	5,534,682	07/09/96	Graef et al.	235	379	03/21/95
C	5,790,260	08/04/98	Myers	358	296	11/08/95
D	5,825,009	10/20/98	Schmid et al.	235	462	03/11/97
E						RECEIVED
F						
G						
H						
I						
J						
K						
L						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
M	0 653 734 A2	11/11/94	EPA	G07F	19/00	X	
N	EP 0 841 643 A1	10/10/97	EPA	G07D	11/00	X	
O	EP 0 845 763 A1	10/13/97	EPA	G07D	11/00	X	
P							
Q							

## DOCUMENT (Including Author, Title, Source, and Pertinent Pages)

## DATE

R	PCT International Search Report in International Application No. 01/11279, dated October 26, 2001, 7 pages.	10/26/01
S	PCT International Search Report in International Application No. 01/12837, dated November 29, 2001, 8 pages.	11/29/01
T		

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE